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Attorney Docket: 3602360-7849737001
(formerly 33154-178179)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Yun-Peng HUANG et al.

Serial No.: 09/991,978

Art Unit: 2623

Filed: November 28, 2001

Examiner: Patrick T. Leem

For: EXCIPIENTS CONTAINING LOW RESIDUAL SOLVENT
AND METHOD FOR PRODUCING THE SAME

DECLARATION UNDER 37 CFR 1.132

I, Yun-Peng Huang, declare as follows:

1. I am one of the inventors in the above-identified application.
2. I was employed at Yung-Zip Chemical Ind. Co., Ltd. (hereinafter "Yung-Zip"), with an address of No. 59, Yu-Shih Road, Youth Industrial District, Tachia, Taichung, Taiwan, from 1998 to 2003. My current address is No. 1, Sec. 93, Jung Guang Road, Long Jing, Taichung, Taiwan.
3. During my employment at Yung-Zip, I discovered that, in trying to remove residual solvent from an excipient containing a water-absorbing property (i.e., containing a methoxy alkylcarboxyl group $[-CH_2-O-R-COO-A^+]$), if I added water or any water solution containing more than 40% of water to the excipient at room temperature with constant stirring, the excipient became pasty and lost its fluidity, which made it unsuitable for use in preparations of pharmaceuticals, fish feeds, plant growth regulators, pesticides and/or herbicides.
4. On the contrary, if I added a solvent/water solution containing no more than 40% of water to the excipient with constant mixing at room temperature, I could obtain an excipient with less than 3000 ppm of solvent by removing the solvent by filtering the excipient.

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